



Please complete Application form in full in black or blue pen using CAPITAL LETTERS and X where appropriate.

Email to: equity.lending@nab.com.au
or

Send to: NAB Equity Lending
PO Box 5350
Melbourne Vic 3001

Attention
NAB Equity Lending contact name

Client/Facility name

As part of the 'know your customer' requirements of the Anti-Money Laundering Act (AML), Foreign Account Tax Compliance Act (FATCA), and Common Reporting Standard (CRS) obligations, we need to collect and validate the information outlined below.

Please use black or blue pen and write in CAPITALS.

Section 1 Foreign Tax Residency

As you have indicated that the applicant, or the entity, is a tax resident of a country other than Australia, provide their tax identification number (TIN) or equivalent below. A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or an Employer Identification Number / Social Security Number in the US.

If a TIN is not provided, list one of the three reasons specified (A, B or C) for not providing a TIN. In the case of Reason B, provide an explanation why the TIN could not be obtained. If the individual or the entity are a tax resident of more than one other country, list all relevant countries below. Note that if country of foreign residency is the USA, a TIN is mandatory.

Country 1	TIN 1	Reason Code 1 and explanation if B was chosen
<input type="text"/>	<input type="text"/>	<input type="text"/>
Country 2	TIN 2	Reason Code 2 and explanation if B was chosen
<input type="text"/>	<input type="text"/>	<input type="text"/>
Country 3	TIN 3	Reason Code 3 and explanation if B was chosen
<input type="text"/>	<input type="text"/>	<input type="text"/>

Reason A The country of tax residency does not issue TINs to tax residents

Reason B The individual or entity has not been issued with a TIN

Reason C The country of tax residency does not require the TIN to be disclosed

Section 2 Financial Institutions

As you have indicated that the entity is a financial institution, provide the requested information below:

Provide the Entity's or the Trustee's Global Intermediary Identification Number (GIIN), if applicable:

If the entity is a Financial Institution but does not have a GIIN, provide the entity's FATCA status (Select one of the following):

- Deemed Compliant Financial Institution
- Non-Reporting IGA Financial Institution
- Excepted Financial Institution
- Non-Participating Financial Institution
- Exempt Beneficial Institution
- US Financial Institution
- Other (describe the FATCA status in the box provided)

Is the Financial Institution an Investment Entity located in a Non-Participating CRS Jurisdiction and managed by another Financial Institution? Refer to <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction> for the list of participating jurisdictions

- Yes
- No

If yes, complete section 3.

Section 3

Are any of the trustees, beneficiaries, associated parties (e.g. appointor/ settlor), directors, partners, or beneficial owners of the incorporated trustee or the organisation, foreign residents for tax purposes?:

- Yes
- No