# NAB Margin Loan
## Security/managed fund transfer instruction

Please use blue or black pen and write in BLOCK LETTERS

Send to: NAB Equity Lending
PO Box 5350
Melbourne Vic 3001

or fax to: 1300 739 923

or email to: equity.lending@nab.com.au

Attention
NAB Equity Lending contact name

### Facility details

Client/Guarantor name

Facility number

### Transfer existing shares and/or managed funds

Name in which shares/managed funds are registered:

Title | Surname | First given name | Second given name

**Participant sponsored shares** (attach copies of most recent CHESS statements)

Name of broker | Participant Identifier (PID) | Holder Identification Number (HIN)

☐ Transfer HIN and all my/our CHESS holdings

☐ Transfer securities listed below from my existing Sponsoring Participant named above to National Margin Services Pty Ltd (ABN 81 088 233 872)

☐ Transfer securities listed below from National Margin Services Pty Ltd to my nominated Sponsoring Participant

| ASX code | Security name | Quantity |
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**Issuer sponsored shares** (attach copies of most recent issuer sponsored statements)

☐ Transfer securities listed below from the Issuer to National Margin Services Pty Ltd

☐ Transfer securities listed below from National Margin Services Pty Ltd to the Issuer

| ASX code | Security name | Quantity | Security Reference Number (SRN) |
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**Managed funds** (attach copies of most recent unit holder statements)

☐ Transfer managed fund investments listed below to NMS Nominees Pty Ltd (ABN 62 088 233 792)

| APIR code | Name of managed fund | Number of units | Investor number |
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## Applicant signatures

I/We authorise the transfer of shares listed to National Margin Services Pty Ltd, and the managed fund investments to NMS Nominees Pty Ltd as required. I/We also authorise National Australia Bank Limited to pay out any outstanding loan against these shareholdings or managed fund holdings (if any).

I/We consent to companies of the National Australia Bank Group using and disclosing my/our personal information as contemplated in the section titled 'Your personal information and privacy' in the NAB Equity Lending Facility Terms.

**I/we acknowledge that National Margin Services Pty Ltd ('NMS') and NMS Nominees Pty Ltd ('Nominees') are wholly owned subsidiaries of National Australia Bank Limited (NAB). NMS and Nominees are not Authorised Deposit Taking Institutions and their obligations do not represent deposits or other liabilities of NAB. NAB does not guarantee the obligations or performance of NMS or Nominees or the products or services these subsidiaries offer.**

Note: If you are transferring your entire share portfolio and HIN to National Margin Services Pty Ltd, by authorising the transfer, your sponsorship agreement with the existing broker/margin lender will cease.

**Individual/Joint**

Signature – first applicant

✗

Full name

Date

/ /

Contact number

Signature – second applicant

✗

Full name

Date

/ /

Contact number

**Company applicant***

Executed by

Name of company and ABN

in accordance with subsection 127 (1) of the Corporations Act by authority of its director(s).

Signature of authorised person

✗

Full name

Office held (Director/Secretary)

Date

/ /

Contact number

Signature of authorised person

✗

Full name

Office held (Director/Secretary)

Date

/ /

Contact number

* If the company applicant is a proprietary company with a sole director who is also the sole company secretary, that person states that they sign as both the sole director and the sole company secretary. In all other cases, this application should be signed by two directors or a director and company secretary.

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